

ANNEX A

Name of Agency: **BASES CONVERSION AND DEVELOPMENT AUTHORITY**
 Date of Self Assessment: **2020**

Name of Evaluator:
 Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation Form Submitted to GPPB)
PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK					
Indicator 1. Competitive Bidding as Default Method of Procurement					
1	1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	90.27%	2.00	PMRs
2	1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	9.70%	0.00	PMRs
Indicator 2. Limited Use of Alternative Methods of Procurement					
3	2.a	Percentage of shopping contracts in terms of amount of total procurement	0.17%	3.00	PMRs
4	2.b	Percentage of negotiated contracts in terms of amount of total procurement	5.53%	2.00	PMRs
5	2.c	Percentage of direct contracting in terms of amount of total procurement	0.36%	3.00	PMRs
6	2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00	PMRs
7	2.e	Compliance with Repeat Order procedures	n/a	n/a	Procurement documents relative to conduct of Repeat Order
8	2.f	Compliance with Limited Source Bidding procedures	n/a	n/a	Procurement documents relative to conduct of Limited Source Bidding
Indicator 3. Competitiveness of the Bidding Process					
9	3.a	Average number of entities who acquired bidding documents	3.68	1.00	Agency records and/or PhilGEPS records
10	3.b	Average number of bidders who submitted bids	3.38	2.00	Abstract of Bids or other agency records
11	3.c	Average number of bidders who passed eligibility stage	2.35	2.00	Abstract of Bids or other agency records
12	3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00	Agency records and/or PhilGEPS records
13	3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00	Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
			Average I	2.18	
PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY					
Indicator 4. Presence of Procurement Organizations					
14	4.a	Creation of Bids and Awards Committee(s)	Fully Compliant	3.00	Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
15	4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00	Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
Indicator 5. Procurement Planning and Implementation					
16	5.a	An approved APP that includes all types of procurement	Compliant	3.00	Copy of APP and its supplements (if any)
17	5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	3.00	APP, APP-CSE, PMR
18	5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00	ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
Indicator 6. Use of Government Electronic Procurement System					
19	6.a	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	52.16%	0.00	Agency records and/or PhilGEPS records
20	6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00	Agency records and/or PhilGEPS records
21	6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	41.83%	1.00	Agency records and/or PhilGEPS records
Indicator 7. System for Disseminating and Monitoring Procurement Information					
22	7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00	Identify specific procurement-related portion in the agency website and specific website links
23	7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Fully Compliant	3.00	Copy of PMR and received copy that it was submitted to GPPB

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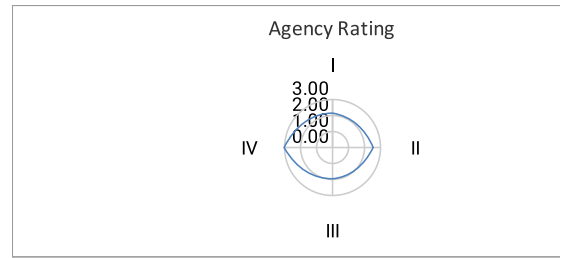
		Average II	2.50		
PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES					
Indicator 8. Efficiency of Procurement Processes					
24	8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	56.48%	1.00	APP (including Supplemental amendments, if any) and PMRs
25	8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	76.47%	0.00	APP(including Supplemental amendments, if any)and PMRs
26	8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Fully Compliant	3.00	Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and variations to order amount to 10% or less
Indicator 9. Compliance with Procurement Timeframes					
27	9.a	Percentage of contracts awarded within prescribed period of action to procure goods	0.00%	0.00	PMRs
28	9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	0.00%	0.00	PMRs
29	9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	0.00%	0.00	PMRs
Indicator 10. Capacity Building for Government Personnel and Private Sector Participants					
30	10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	3.00	Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
31	10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	100.00%	3.00	Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
32	10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00	Ask for copies of documentation of activities for bidders
Indicator 11. Management of Procurement and Contract Management Records					
33	11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00	Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
34	11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00	Verify actual contract management records and time it took to retrieve records should be no more than two hours
Indicator 12. Contract Management Procedures					
35	12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Fully Compliant	3.00	Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
36	12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00	Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
			Average III	1.92	
PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM					
Indicator 13. Observer Participation in Public Bidding					
37	13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00	Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
	13.b	(b) Attendance of Observers in public bidding activities			PMRs and Abstract of Bids
Indicator 14. Internal and External Audit of Procurement Activities					
38	14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Fully Compliant	3.00	Verify copy of Order or show actual organizational chart showing IAU, audit reports, action plans and IAU recommendations
39	14.b	Audit Reports on procurement related transactions	Above 90-100% compliance	3.00	Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
Indicator 15. Capacity to Handle Procurement Related Complaints					
40	15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00	Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting measures to address procurement-related complaints
Indicator 16. Anti-Corruption Programs Related to Procurement					

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41	16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00	Verify documentation of anti-corruption program
			Average IV	3.00	
GRAND TOTAL (Average I + Average II + Average III + Average IV / 4)				2.40	

Summary of APCPI Scores by Pillar

	APCPI Pillars	Ideal Rating	Agency Rating
Pillar I	Legislative and Regulatory Framework	3.00	2.18
Pillar II	Agency Institutional Framework and Management Capacity	3.00	2.50
Pillar III	Procurement Operations and Market Practices	3.00	1.92
Pillar IV	Integrity and Transparency of Agency Procurement	3.00	3.00
Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4		3.00	2.40



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**ANNEX B
GOVERNMENT PROCUREMENT POLICY BOARD
CONSOLIDATED PROCUREMENT MONITORING REPORT**

Name of Agency: BASES CONVERSION AND DEVELOPMENT AUTHORITY

Period Covered: CY 2020


	Total Amount of Approved APP	Total Number of Procurement Activities	No. of Contracts Awarded	Total Amount of Contracts Awarded	No. of Failed Biddings	Total No. of Entities who Acquired Bid Docs	Total No. of Bidders who Submitted Bids	Total No. of Bidders who passed Eligibility Stage	No. of Bid Opportunities Posted at PhilGEPS	No. of Contract Award Posted at	Total No. Of Contracts that incurred negative slippage	Total No. of contracts with amendments to order or variation orders	No. of Contracts Awarded within prescribed timeframes
Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
1. Public Bidding*													
1.1. Goods	137,704,000.00	18	14	114,606,139.34	4	24	24	19	19	14			18
1.2. Works	445,200,000.00	5	4	560,804,505.41	1	64	54	38	5	4			5
1.3. Consulting Services	3,440,108,000.00	11	8	1,511,312,344.37	3	37	37	23	11	8			11
Sub-Total	4,023,012,000.00	34	26	2,186,722,989.12	8	125	115	80	35	26	0	0	34
2. Alternative Modes													
2.1.1 Shopping (52.1 a above 50K)	0.00	0	0	0.00						0			
2.1.2 Shopping (52.1 b above 50K)	4,079,790.86	19	19	4,063,390.86					19	19			
2.1.3 Other Shopping	48,000.00	1	1	48,000.00						1			
2.2.1 Direct Contracting (above 50K)	2,848,680.69	3	3	2,848,680.69						3			
2.2.2 Direct Contracting (50K or less)	6,453,544.42	24	24	5,807,551.34						24			
2.3.1 Repeat Order (above 50K)	0.00	0	0	0.00						0			
2.3.2 Repeat Order (50K or less)	0.00	0	0	0.00						0			
2.4. Limited Source Bidding	0.00	0	0	0.00					0	0			
2.5.1 Negotiation (Common-Use Supplies)	3,459,599.86	12	12	3,459,599.86									
2.5.2 Negotiation (Recognized Government Printers)	17,000.00	1	1	17,000.00									
2.5.3 Negotiation (TFB 53.1)	0.00	0	0	0.00					0	0			
2.5.4 Negotiation (SVP 53.9)	21,438,783.20	179	177	17,366,899.20					67	65			
2.5.5 Negotiation (53.5 A to A)	3,097,389.00	1	1	3,097,389.00						1			
2.5.6 Negotiation (53.7 HTC)	7,741,539.00	4	4	7,741,538.46						4			
2.5.7 Negotiation (53.4 Adjacent or Contiguous)	0.00	0	0	0.00						0			
2.5.8 Negotiation (53.6 Scientific, Scholarly or Artistics)	0.00	0	0	0.00						0			
2.5.9 Negotiation (53.10 Lease of Property)	82,405,000.00	4	4	78,108,709.63						4			
2.5.10 Other Negotiated Procurement (53.13)	0.00	0	0	0.00						0			
2.5.11 Other Negotiated Procurement	140,645,930.00	9	9	116,682,842.15						9			
Sub-Total	272,235,257.03	257	255	239,241,601.19					86	121			
3. Foreign Funded Procurement**													
3.1. Publicly-Bid													
3.2. Alternative Modes													
Sub-Total	0.00	0	0	0.00									
4. Others, specify:													
TOTAL	4,295,247,257.03	291	281	2,425,964,590.31									

* Should include foreign-funded publicly-bid projects per procurement type

** All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted


STEVENSON E. TUGAS, JR.
 Head BAC Secretariat for Consulting Services


RAUL G. BUENSALIDA
 Head BAC Secretariat for Goods


MELINDA M. DOCALLOS
 Head BAC Secretariat for Infrastructure


ARREN A. PEREZ
 Senior Vice President
 Corporate Services Group
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VIVENCIO B. DIZON
 President and CEO

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AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI) QUESTIONNAIRE

Name of Agency: Bases Conversion and Development Authority
 Name of Respondent: Raul Buensalida, Stevenson Tugas, Jr., Melinda Docallos

Date: 26-Mar-21
 Position: BAC Secretariats

Instruction: Put a check (x) mark inside the box beside each condition/requirement met as provided below and then fill in the corresponding blanks according to what is asked. Please note that all questions must be answered completely.

<p>1. Do you have an approved APP that includes all types of procurement, given the following conditions? (5a)</p> <p><input checked="" type="checkbox"/> Agency prepares APP using the prescribed format</p> <p><input checked="" type="checkbox"/> Approved APP is posted at the Procuring Entity's Website please provide link: <u>www.bcdca.gov.ph/transparency</u></p> <p><input checked="" type="checkbox"/> Submission of the approved APP to the GPPB within the prescribed deadline please provide submission date: <u>30-Jan-20</u></p>	<p>Compliant</p>
<p>2. Do you prepare an Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procure your Common-Use Supplies and Equipment from the Procurement Service? (5b)</p> <p><input checked="" type="checkbox"/> Agency prepares APP-CSE using prescribed format</p> <p><input checked="" type="checkbox"/> Submission of the APP-CSE within the period prescribed by the Department of Budget and Management in its Guidelines for the Preparation of Annual Budget Execution Plans issued annually please provide submission date: <u>15-Dec-20</u></p> <p><input checked="" type="checkbox"/> Proof of actual procurement of Common-Use Supplies and Equipment from DBM-PS</p>	<p>Fully Compliant</p> <p>Fully Compliant</p> <p>1</p> <p>1</p> <p>1</p> <p>3</p>
<p>3. In the conduct of procurement activities using Repeat Order, which of these conditions is/are met? (2e)</p> <p><input type="checkbox"/> Original contract awarded through competitive bidding</p> <p><input type="checkbox"/> The goods under the original contract must be quantifiable, divisible and consisting of at least four (4) units per item</p> <p><input type="checkbox"/> The unit price is the same or lower than the original contract awarded through competitive bidding which is advantageous to the government after price verification</p> <p><input type="checkbox"/> The quantity of each item in the original contract should not exceed 25%</p> <p><input type="checkbox"/> Modality was used within 6 months from the contract effectivity date stated in the NTP arising from the original contract, provided that there has been a partial delivery, inspection and acceptance of the goods within the same period</p>	<p>n/a</p> <p>Not Compliant</p>
<p>4. In the conduct of procurement activities using Limited Source Bidding (LSB), which of these conditions is/are met? (2f)</p> <p><input type="checkbox"/> Upon recommendation by the BAC, the HOPE issues a Certification resorting to LSB as the proper modality</p> <p><input type="checkbox"/> Preparation and Issuance of a List of Pre-Selected Suppliers/Consultants by the PE or an identified relevant government authority</p> <p><input type="checkbox"/> Transmittal of the Pre-Selected List by the HOPE to the GPPB</p> <p><input type="checkbox"/> Within 7cd from the receipt of the acknowledgement letter of the list by the GPPB, the PE posts the procurement opportunity at the PhilGEPS website, agency website, if available and at any conspicuous place within the agency</p>	<p>n/a</p> <p>Not Compliant</p>
<p>5. In giving your prospective bidders sufficient period to prepare their bids, which of these conditions is/are met? (3d)</p> <p><input checked="" type="checkbox"/> Bidding documents are available at the time of advertisement/posting at the PhilGEPS website or Agency website;</p> <p><input checked="" type="checkbox"/> Supplemental bid bulletins are issued at least seven (7) calendar days before bid opening;</p> <p><input checked="" type="checkbox"/> Minutes of pre-bid conference are readily available within five (5) days.</p>	<p>Fully Compliant</p> <p>1</p> <p>1</p> <p>1</p> <p>3</p>
<p>6. Do you prepare proper and effective procurement documentation and technical specifications/requirements, given the the following conditions? (3e)</p> <p><input checked="" type="checkbox"/> The end-user submits final, approved and complete Purchase Requests, Terms of Reference, and other documents based on relevant characteristics, functionality and/or performance requirements, as required by the procurement office prior to the commencement of the procurement activity</p> <p><input checked="" type="checkbox"/> No reference to brand names, except for items/parts that are compatible with the existing fleet or equipment</p> <p><input checked="" type="checkbox"/> Bidding Documents and Requests for Proposal/Quotation are posted at the PhilGEPS website, Agency website, if applicable, and in conspicuous places</p>	<p>Fully Compliant</p>
<p>7. In creating your BAC and BAC Secretariat which of these conditions is/are present?</p> <p>For BAC: (4a)</p> <p><input checked="" type="checkbox"/> Office Order creating the Bids and Awards Committee please provide Office Order No.: <u>SO No. 344 s. 2019</u></p>	<p>Fully Compliant</p> <p>1</p>

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AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI) QUESTIONNAIRE

<input checked="" type="checkbox"/>	There are at least five (5) members of the BAC please provide members and their respective training dates:	1														
	<table border="0"> <thead> <tr> <th style="text-align: left;">Name/s</th> <th style="text-align: left;">Date of RA 9184-related training</th> </tr> </thead> <tbody> <tr> <td>A. <u>Atty. Gizela Kalalo</u></td> <td><u>December 18, 2020</u></td> </tr> <tr> <td>B. <u>Atty. Gizela Kalalo</u></td> <td><u>December 18, 2020</u></td> </tr> <tr> <td>C. <u>Samuel Vidallon</u></td> <td><u>December 18, 2020</u></td> </tr> <tr> <td>D. <u>Madonna Cinco</u></td> <td><u>December 18, 2020</u></td> </tr> <tr> <td>E. <u>Atty. Edilberto Rebato, Jr.</u></td> <td><u>December 18, 2020</u></td> </tr> <tr> <td>F. <u>Virgil Alvarez</u></td> <td><u>December 18, 2020</u></td> </tr> </tbody> </table>	Name/s	Date of RA 9184-related training	A. <u>Atty. Gizela Kalalo</u>	<u>December 18, 2020</u>	B. <u>Atty. Gizela Kalalo</u>	<u>December 18, 2020</u>	C. <u>Samuel Vidallon</u>	<u>December 18, 2020</u>	D. <u>Madonna Cinco</u>	<u>December 18, 2020</u>	E. <u>Atty. Edilberto Rebato, Jr.</u>	<u>December 18, 2020</u>	F. <u>Virgil Alvarez</u>	<u>December 18, 2020</u>	
Name/s	Date of RA 9184-related training															
A. <u>Atty. Gizela Kalalo</u>	<u>December 18, 2020</u>															
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F. <u>Virgil Alvarez</u>	<u>December 18, 2020</u>															
<input checked="" type="checkbox"/>	Members of BAC meet qualifications	1														
<input checked="" type="checkbox"/>	Majority of the members of BAC are trained on R.A. 9184	1														
For BAC Secretariat: (4b)		4														
<input checked="" type="checkbox"/>	Office Order creating of Bids and Awards Committee Secretariat or designing Procurement Unit to act as BAC Secretariat please provide Office Order No.: <u>SO No. 344 s. 2019 and SO No. 115 s. 2020</u>	1														
<input checked="" type="checkbox"/>	The Head of the BAC Secretariat meets the minimum qualifications please provide name of BAC Sec Head: <u>Raul Buensalida, Stevenson Tugas, Jr. and Melinda Docallos</u>	1														
<input checked="" type="checkbox"/>	Majority of the members of BAC Secretariat are trained on R.A. 9184 please provide training date: <u>December 18, 2020</u>	1														
		3														
8. Have you conducted any procurement activities on any of the following? (5c)																
If YES, please mark at least one (1) then, answer the question below.																
<input checked="" type="checkbox"/>	Computer Monitors, Desktop Computers and Laptops	Compliant														
<input checked="" type="checkbox"/>	Air Conditioners															
<input type="checkbox"/>	Vehicles															
<input type="checkbox"/>	Fridges and Freezers															
<input type="checkbox"/>	Copiers															
<input type="checkbox"/>	Paints and Varnishes															
<input type="checkbox"/>	Food and Catering Services															
<input type="checkbox"/>	Training Facilities / Hotels / Venues															
<input type="checkbox"/>	Toilets and Urinals															
<input type="checkbox"/>	Textiles / Uniforms and Work Clothes															
Do you use green technical specifications for the procurement activity/ies of the non-CSE item/s?																
<input checked="" type="checkbox"/>	Yes	Compliant														
<input type="checkbox"/>	No															
9. In determining whether you provide up-to-date procurement information easily accessible at no cost, which of these conditions is/are met? (7a)																
<input checked="" type="checkbox"/>	Agency has a working website please provide link: <u>www.bcda.gov.ph/transparency</u>	1														
<input checked="" type="checkbox"/>	Procurement information is up-to-date	1														
<input checked="" type="checkbox"/>	Information is easily accessible at no cost	1														
		3														
10. In complying with the preparation, posting and submission of your agency's Procurement Monitoring Report, which of these conditions is/are met? (7b)																
<input checked="" type="checkbox"/>	Agency prepares the PMRs	1														
<input checked="" type="checkbox"/>	PMRs are promptly submitted to the GPPB please provide submission dates: 1st Sem - <u>30-Sep-20</u> 2nd Sem - <u>31-Mar-21</u>	1														
<input checked="" type="checkbox"/>	PMRs are posted in the agency website please provide link: <u>www.bcda.gov.ph/procurement-monitoring-report</u>	1														
<input checked="" type="checkbox"/>	PMRs are prepared using the prescribed format	1														
		4														
11. In planning of procurement activities to achieve desired contract outcomes and objectives within the target/allotted timeframe, which of these conditions is/are met? (8c)																
<input checked="" type="checkbox"/>	There is an established procedure for needs analysis and/or market research	1														
<input checked="" type="checkbox"/>	There is a system to monitor timely delivery of goods, works, and consulting services	1														
<input checked="" type="checkbox"/>	Agency complies with the thresholds prescribed for amendment to order, variation orders, and contract extensions, if any, in competitively bid contracts	1														
		3														
12. In evaluating the performance of your procurement personnel, which of these conditions is/are present? (10a)																
<input checked="" type="checkbox"/>	Personnel roles, duties and responsibilities involving procurement are included in their individual performance commitment/s	1														
<input checked="" type="checkbox"/>	Procuring entity communicates standards of evaluation to procurement personnel	1														

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AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI) QUESTIONNAIRE

<input checked="" type="checkbox"/>	Procuring entity and procurement personnel acts on the results and takes corresponding action	1 3
13. Which of the following procurement personnel have participated in any procurement training and/or professionalization program within the past three (3) years? (10b)		1.00
	Date of most recent training: <u>11/6/2019 and 12/18/2020</u>	1
<input checked="" type="checkbox"/>	Head of Procuring Entity (HOPE)	0.25
<input checked="" type="checkbox"/>	Bids and Awards Committee (BAC)	0.20
<input checked="" type="checkbox"/>	BAC Secretariat/ Procurement/ Supply Unit	0.20
<input checked="" type="checkbox"/>	BAC Technical Working Group	0.15
<input checked="" type="checkbox"/>	End-user Unit/s	0.15
<input checked="" type="checkbox"/>	Other staff	0.05
14. Which of the following is/are practised in order to ensure the private sector access to the procurement opportunities of the procuring entity? (10c)		Compliant
<input type="checkbox"/>	Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective bidders at least once a year	0
<input checked="" type="checkbox"/>	The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels	1
15. In determining whether the BAC Secretariat has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11a)		Fully Compliant
<input checked="" type="checkbox"/>	There is a list of procurement related documents that are maintained for a period of at least five years	1
<input checked="" type="checkbox"/>	The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers	1
<input checked="" type="checkbox"/>	The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel	1 3
16. In determining whether the Implementing Units has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11b)		Fully Compliant
<input checked="" type="checkbox"/>	There is a list of contract management related documents that are maintained for a period of at least five years	1
<input checked="" type="checkbox"/>	The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers	1
<input checked="" type="checkbox"/>	The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel	1 3
17. In determining if the agency has defined procedures or standards for quality control, acceptance and inspection of goods, works and services, which of these conditions is/are present? (12a)		Fully Compliant
<input checked="" type="checkbox"/>	Agency has written procedures for quality control, acceptance and inspection of goods, services and works	1
Have you procured Infrastructure projects through any mode of procurement for the past year?		2
<input checked="" type="checkbox"/>	Yes	
<input type="checkbox"/>	No	
If YES, please answer the following:		
<input checked="" type="checkbox"/>	Supervision of civil works is carried out by qualified construction supervisors Name of Civil Works Supervisor: <u>Brando Aguilera, Ryan Galura, Jerico Bondoc, Ryan Pineda, Eduardo Rosqueta, Mark Torres, Vergel Paras, Marinell Paclibar</u>	1
<input checked="" type="checkbox"/>	Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only) Name of CPES Evaluator: <u>Mark Torres, Marinell Paclibar, Vergel Paras</u>	1 5
18. How long will it take for your agency to release the final payment to your supplier/service provider or contractor/consultant, once documents are complete? (12b) <u>30</u> days		On or before 30 days 30
19. When inviting Observers for the following procurement activities, which of these conditions is/are met? (13a)		
A. Eligibility Checking (For Consulting Services Only)		
B. Shortlisting (For Consulting Services Only)		
C. Pre-bid conference		Fully Compliant
D. Preliminary examination of bids		
E. Bid evaluation		3
F. Post-qualification		
<input checked="" type="checkbox"/>	Observers are invited to attend stages of procurement as prescribed in the IRR	1
<input checked="" type="checkbox"/>	Observers are allowed access to and be provided documents, free of charge, as stated in the IRR	1
<input checked="" type="checkbox"/>	Observer reports, if any, are promptly acted upon by the procuring entity	1
20. In creating and operating your Internal Audit Unit (IAU) that performs specialized procurement audits,		

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI) QUESTIONNAIRE

which set of conditions were present? (14a)

Fully Compliant

- Creation of Internal Audit Unit (IAU) in the agency
 Agency Order/DBM Approval of IAU position/s: IASO, ISO Internal Audit Team

1
- Conduct of audit of procurement processes and transactions by the IAU within the last three years

1
- Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the internal auditor's report

1
3

21. Are COA recommendations responded to or implemented within six months of the submission of the auditors' report? (14b)

Above 90-100% compliance

- Yes (percentage of COA recommendations responded to or implemented within six months)
 _____ %
- No procurement related recommendations received

22. In determining whether the Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements, which of conditions is/are present? (15a)

Fully Compliant

- The HOPE resolved Protests within seven (7) calendar days per Section 55 of the IRR

1
- The BAC resolved Requests for Reconsideration within seven (7) calendar days per Section 55 of the IRR

1
- Procuring entity acts upon and adopts specific measures to address procurement-related complaints, referrals, subpoenas by the Omb, COA, GPPB or any quasi-judicial/quasi-administrative body

1
3

23. In determining whether agency has a specific anti-corruption program/s related to procurement, which of these conditions is/are present? (16a)

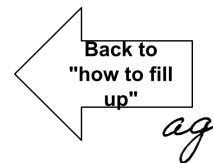
Fully Compliant

- Agency has a specific office responsible for the implementation of good governance programs

1
- Agency implements a specific good governance program including anti-corruption and integrity development

1
- Agency implements specific policies and procedures in place for detection and prevention of corruption

1
3



Annex D

PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE

Name of Agency: **Bases Conversion and Development Authority**

Period: **2019**

Sub-Indicators	Key Area for Development		Proposed Actions to Address Key Areas	Responsible Entity	Timetable	Resources Needed
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	2.00	Consider the budgetting of the project/s to be procured.	End Users	2020	n/a
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	0.00	No conducted limited source bidding	n/a	n/a	
2.b	Percentage of negotiated contracts in terms of amount of total procurement	2.00	from AMP to competitive bidding	End user	2020	N/A
3.a	Average number of entities who acquired bidding documents	1.00	Attend training on Technical Specification on Cost Estimates, Packaging/ABC	GPPB	2020	Training fee
3.b	Average number of bidders who submitted bids	2.00	Analyze or review technical specifications/TOR/ABC.	End Users	2020	Training fee
3.c	Average number of bidders who passed eligibility stage	2.00	Consider in bidding schedule the renewal period of legal documents such as tax clearance, mayors permit, income/business tax returns etc.	BAC, End Users	2020	n/a
			Continuation of implentation of BCDA Merchant Registry	PPMD	2020	n/a
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	0.00	Non-compliance legal docs. For BACs to monitor causes of failure of bidding	BAC, End Users	2020	n/a
9.a	Percentage of contracts awarded within prescribed period of action to procure goods	0.00	Consider thorough budget planning, market sounding and crafting of accurate TOR	GPPB, End Users	2020	Training fee
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	0.00	Consider in timetable of bidding activities the submisison of bids as against the required documents to be prepared by the prospective bidders	BAC, End Users	2020	n/a
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	0.00	Improve market sounding and TOR creation.	GPPB, End Users	2020	Training fee



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